

11/23/01

JCS 850 U.S. PTO

Paperwork Reduction Act of 1994, no persons are required to respond to a collection of information unless it displays

PTO/SB/ (01/01)
and Trademark Office: U.S. DEPARTMENT OF COMMERCE
/B control number.UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2001_1748A

First Named Inventor : Akira NISHIYAMA et al.

Title: PHENOXYPROPYLAMINE COMPOUNDS

Express Mail Label No.:

11/23/01
10971 U.S. PTO
09/990389

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)2. ☐ Small Entity Status is hereby asserted.3. ☒ Specification [Total Pages: 320]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.

- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☐ Drawing(s) (35 USC 113) [Total Sheets:]5. ☒ Oath or Declaration [Total Pages : 3]

- a. 1. ☐ Newly executed (original or copy)
- a. 2. ☒ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)

i. ☐ DELETION OF INVENTOR(S)Signed statement attached deleting inventor(s) named
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).6. ☐ Application Data Sheet (see 37 CFR 1.76)ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 202317. ☐ CD-ROM or CD-R in duplicate, large table or computer
program (Appendix)8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Form
- b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 9. ☐ Assignment Papers (cover sheet & document(s))
- 10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
☐ Power of Attorney
- 11. ☐ English Translation Document (if applicable)
- 12. ☐ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
- 13. ☒ Preliminary Amendment
- 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically
itemized)
- 15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
- 17. ☒ Other: First page of Published Corresponding PCT
Application (WO 00/71517); International search
Report; and Forms PCT/IB/301, 304, 308, 332 and
338

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or
in an Application Data Sheet :☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. PCT/JP00/03279

Prior Application Information: Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by
reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

By:

Michael R. Davis
Registration No. 25,134WENDEROTH, LIND & PONACK, L.L.P.
2033 "F" Street, N.W., Suite 800
Washington, D.C. 20006-1021
(410) 594-7310

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Akira NISHIYAMA et al.

Serial No. NEW

Filed November 23, 2001

Attn: APPLICATION BRANCH

Attorney Docket No. 2001_1748A

PHENOXYPROPYLAMINE COMPOUNDS

(Rule 1.53(b) CIP of PCT/JP00/03279,
Filed May 22, 2000)

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$842.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application	<u>\$740.00</u>
Additional Claims Fee:	
Excess of Twenty	<u>\$ 18.00</u>
Independent	<u>\$ 84.00</u>
Multiple Dependent Fee	\$

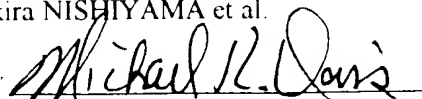
A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Akira NISHIYAMA et al.

By



Michael R. Davis

Registration No. 25,134

Attorney for Applicants

MRD/asd

Washington, DC 20503-0001

Telephone (202) 721-8200

November 23, 2001

[Check No. _____]

2001_1748A